SEC Form 4	
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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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FORM 4

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre	ss of Reporting Perso prick <u>A.</u> (First)	n <sup>*</sup> (Middle)		r Name <b>and</b> Ticker LUTION PET	<u>FROLĔU</u>	M CORP [ EPI		below)	10% ( Other below	Owner (specify )		
1155 DAIRY A SUIT 425	SHFORD	. ,	09/09/3	2019				Vice Preside	ent and C.A.O			
			4. If Am	endment, Date of C	Driginal Filed	(Month/Day/Year)	6. Inc Line)	6. Individual or Joint/Group Filing (Check Applicable				
(Street) HOUSTON	ТХ	77079					X	Form filed by One Form filed by Mon Person	1 0			
(City)	(State)	(Zip)						1 010011				
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
Date		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			

		(Month/Day/Year)	8)					Owned Following Reported	(I) (Instr. 4)	Ownership (Instr. 4)	
			Code V		Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)	
Common Stock	09/09/2019		M <sup>(1)</sup>		2,807	A	\$ <mark>0</mark>	47,322	D		
Common Stock	09/09/2019		F		2,047	D	\$5.93	45,275	D		
Common Stock	12/04/2019		F		316	D	\$5.13	44,959	D		
Common Stock	12/10/2019		A <sup>(2)</sup>		22,167	A	\$ <mark>0</mark>	67,126	D		
Common Stock	09/01/2020		F		907	D	\$2.73	66,219	D		
Common Stock	09/03/2020		F		1,385	D	\$2.86	64,834	D		
Common Stock	09/05/2020		F		340	D	\$2.86	64,494	D		
Common Stock	12/09/2020		A <sup>(2)</sup>		58,084	A	\$0	122,578	D		

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		Derivative		Expiration Date (Month/Day/Year) A)		and of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Contingent Performance Stock Grant	\$0.0	09/09/2019		M <sup>(3)</sup>			2,807	(4)	09/01/2020	Common Stock	2,807	\$5.93	0	D	
Contingent Performance Stock Grant	\$0.0	12/09/2020		A <sup>(5)</sup>		19,361		(4)	12/09/2024	Common Stock	19,361	\$0	19,361	D	

#### Explanation of Responses:

1. Issuance of Evolution Petroleum common stock upon the vesting of a Contingent Restricted Stock award.

2. Award of Restricted Stock subject to vesting conditions specified in grant agreement.

3. Vesting of Contingent Restricted Stock award as grant agreement's performance condition was satisfied.

4. Contingent Restricted Stock award vests (becomes exercisable) when grant agreement's vesting performance conditions are met.

5. Award of Contingent Restricted Stock subject to grant agreement's vesting conditions.

### Remarks:

#### /s/ Roderick A. Schultz

\*\* Signature of Reporting Person

08/04/2021 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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