SEC Form 4

 \square

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

			of Section So(n) of the investment Company Act of 1940			
1. Name and Addr	ress of Reporting	J Person [*]	2. Issuer Name and Ticker or Trading Symbol EVOLUTION PETROLEUM CORP [EPM]		tionship of Reporting Pe all applicable) Director Officer (give title	10% Owner Other (specify
(Last) 1155 DAIRY A	(First) ASHFORD, S	(Middle) UITE 425	3. Date of Earliest Transaction (Month/Day/Year) 11/06/2017		below)	below) CFO
(Street)	ТХ	77079	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Fili Form filed by One Re	
(City)	(State)	(Zip)			Form filed by More the Person	
(City)	(State)	(Zip)				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)						5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	11/06/2017		М		6,021 ⁽¹⁾	A	\$7.2	366,920	D	
Common Stock	11/06/2017		F		7,578 ⁽²⁾	D	\$7.2	359,342	D	
Common Stock	11/06/2017		Α		13,195 ⁽³⁾	A	\$ <mark>0</mark>	372,537	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Contingent Performance Stock Grant	\$0.0	11/06/2017		М			6,021	(1)	12/04/2019	Common Stock	6,021	\$7.2	0	D	

Explanation of Responses:

1. Contingent Restricted Stock award granted on December 4, 2015 that vested upon attainment of a specific performance goal.

2. Shares surrendered to the Company to pay income tax withholding obligations.

3. Award of Restricted Stock pursuant to Company's 2016 Equity Incentive Plan, subject to vesting.

Remarks:

/s/ David Joe

11/08/2017

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.